



LFW

PATENT

Docket No. 29381/04000

UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Dickson

U.S. Serial No.: 10/801,795

Filed: March 16, 2004

For: **FORMED METAL ARMOR ASSEMBLY**

Examiner: Not yet assigned

Art Unit:

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VIRGINIA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. §1.97(g)), an admission that the information cited is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)), or that no other material information exists.

The identification of any information herein is not intended to be, and should not be understood as being, an admission that such information, in fact, constitutes "prior art" within the meaning of applicable law. The "prior art" status of any information is a matter to be resolved during prosecution.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- ☐ (a) Copy of English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- ☐ (b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents". (See attached International Search Report).

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:

- ☒ (a) Within 3 months of the filing date or date of entry into the National Stage.
- ☒ (b) Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. **03-0172** for consideration of this Statement.
- ☐ (c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
 - ☐ (1) The required certification is given below, or
 - ☐ (2) Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. **03-0172**.
- ☐ (d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
 - ☐ (1) Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. **03-0172**.

4. Certification (if applicable)

- ☐ (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- ☐ (b) The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 03-0172.

Respectfully submitted,



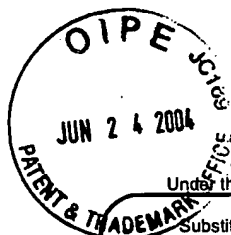
Warren M. Haines II
Attorney for Applicants
Reg. No. 40,632

Certificate of Mailing

I hereby certify that this *INFORMATION DISCLOSURE STATEMENT* is being deposited on this, the 16th day of June, 2004, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JOYCE FORD
Print Name Of Person Signing This Certificate

Joyce Ford
SIGNATURE



PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/801,795
Filing Date	March 16, 2004
First Named Inventor	Dickson
Art Unit	
Examiner Name	
Attorney Docket Number	29381/04000

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,408,733 B1	06-25-2002	Perciballi	
		US- 5,014,593	05-14-1991	Auyer et al.	
		US- 3,804,034	04-16-1974	Stiglich Jr.	
		US- 5,196,252	03-23-1993	Harpell	
		US- 3,719,545	03-06-1973	Lawler	
		US- 3,736,838	06-05-1973	Butterweck et al.	
		US- 4,879,165	11-07-1989	Smith	
		US- 5,390,580	02-21-1995	Gibbons Jr. et al	
		US- 5,191,166	03-02-1993	Smirlock et al	
		US- 5,170,690	03-15-1992	Smirlock et al	
		US- 4,529,640	07-16-1985	Brown et al	
		US- 5,443,883	08-22-1995	Park	
		US- 5,635,288	06-03-1997	Park	
		US- 5,723,807	03-03-1998	Kuhn II	
		US- 4,737,402	04-12-1988	Harpell et al	
		US- 5,007,326	04-16-1991	Gooch Jr. et al	
		US- 4,835,033	05-30-1989	Auyer et al	
		US- 3,523,057	08-04-1970	Buck	
		US- 5,221,807	06-22-1993	Vives	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.